SHEET 1 OF 1

| Form PTO 1449 (Modified) FORM PTO 1449 (Modified) FORM PTO 1449 PATENT AND TRADEMARK OFFICE | | | | ATTY DOCKET NO. | | SERIAL NO. | | | | | | |
|---|---|--|--------------|---|-------------|-------------|-------------|------------------|--|--|--|--|
| (Modified) PATENT AND TRADEMARK OFFICE | | | 278057US0PCT | 10/551,434 | | | | | | | | |
| | | | | APPLICANT | | | | | | | | |
| LIST OF | REFE | RENCES CITED BY APPL | ICANT | Oliver SEEGER et al | | | | | | | | |
| | | | | FILING DATE | | GROUP | | | | | | |
| | | | | September 29, 2005 | | 1773 | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | |
| EXAMINER | | DOCUMENT | DATE | NAME | CLASS | SUB | FIL | ING DATE | | | | |
| INITIAL | | NUMBER | DAIL | NAIVIL | CLASS | CLASS | IF AP | PROPRIATE | | | | |
| | AA | | | | | | | | | | | |
| | AB | | | | | | | | | | | |
| | AC | | | | | | | | | | | |
| | AD | | | | | | | | | | | |
| | AE | | | | | | | | | | | |
| | AF | | | | | | | | | | | |
| | AG | | | | | | | | | | | |
| | АН | | | | | | | | | | | |
| | Αl | | | | | | | - | | | | |
| | AJ | | | | | | | | | | | |
| | AK | | | | | , | | | | | | |
| * | AL | | | | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | |
| | - | DOCUMENT DATE COUNTRY TRANSLATION | | | | | | | | | | |
| | | NUMBER | DATE | COUNTRY | | | | NO | | | | |
| /H.L./ | АМ | WO 2004/026268 0 | 4/01/04 | WIPO | | | | xxx | | | | |
| /H.L./ | AN | WO 2004/035684 0 | 4/29/04 | WIPO | | | | | | | | |
| /H.L./ | AO . | DE 40 30 727 | 4/02/92 | GERMANY | | | | xxx | | | | |
| /H.L./ | AP | DE 198 20 112 | 1/11/99 | GERMANY | | | | xxx | | | | |
| /H.L./ | AQ | 0 259 592 | 3/16/88 | EPO | | | | XXX | | | | |
| /H.L./ | AR | WO 01/81483 | 1/01/01 | WIPO | | - | | | | | | |
| /H.L./ | AS | DE 195 16 181 | 1/07/96 | GERMANY | | | | xxx | | | | |
| /H.L./ | AT | DE 101 28 489 | 2/19/02 | GERMANY | | | | xxx | | | | |
| | | OTHER REF | ERENCES (I | Including Author, Title, Date, Pertinent | Pages, e | tc.) | | | | | | |
| // // // // // // // // // // // // // | | A. KIEHL et al, "Encapsu | lated alumin | ium pigments", PROGRESS IN ORGANI | IC COATIN | NGS", 1999 | 9, pp. 179 | -183. | | | | |
| /H.L./ | AU | | | | | | | | | | | |
| /H.L./ | AV | A. KIEHL et al, "Corrosion Inhibited Metal Pigments", MACROMOL. SYRUP 187, 2002, pp. 109-120. | | | | | | | | | | |
| | | | | | | | | | | | | |
| /H.L./ | AW | R. SCHMID et al, "Luster Pigments with optically Variable Properties", <u>CREATIVE ADVANCES IN COATINGS</u> <u>TECHNOLOGY</u> , 4th Nürnberg Congress, April 7-9, 1997, Pager 10, pp.1-10. | | | | | | | | | | |
| * xxxxxxxxxxxxx | 000000000000000000000000000000000000000 | Eckart GmbH & Co KG | Brochure, "S | TARA AlliminiiiRasisesii, pp1.28 | 5888888 | | | | | | | |
| * | | Eckart GmbH & Co. KG | Brochure "N | Metal effect pigments", pp. 1-16. | - | | | | | | | |
| 100000000000000000000000000000000000000 | ooookkokoooo | | | | | | | | | | | |
| * | ************************************** | Eckart GmbH & Co., KG | Brochure, "E | Effect Pigments and Concentrates for | | | | | | | | |
| | | F.400.00 , pp. 1-20. | | | L Addi | tional Refe | rences sh | neet(s) attached | | | | |
| Examiner | | /Hoa Lo | e/ | | Date Cor | nsidered | 02/20/ | 2008 | | | | |
| | | | | citation is in conformance with MPEP 60 with next communication to applicant. | 9; Draw lii | ne through | citation if | not in | | | | |

^{*} Undated Document.



10551434 - GAU:

HEET 1 OF

| AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ AZ AZ Additional References sheet(s) attached | f | | | | | | | | |
|--|--------------------------------|--|---|--|--|---------------|----------------------------|------------------------|--|
| LIST OF REFERENCES CITED BY APPLICANT Oliver SEEGER, et al. FILING DATE Herewith U.S. PATENT DOCUMENTS EXAMINER NUMBER DATE NUMBER DATE NAME CLASS SUB FILING DATE HORIZON DATE NAME CLASS SUB FILING DATE HORIZON DATE NAME CLASS SUB FILING DATE HORIZON DATE NAME CLASS SUB FILING DATE CLASS SUB FILING DATE HORIZON DATE HORIZON DATE HORIZON DATE HORIZON DATE HORIZON DATE ARGOTILA Abordo et al. HILL AC 6.013,370 01/11/00 COULTER, Kent et al. AC AE AB AG | | 9 | U.S. DEPARTME | NT OF COMMERCE | ATTY DOCKET NO. | | | | |
| AF | | | | 278057US0PCT | New U.S. PCT Application Based on PCT/EP04/03948 | | | | |
| FILING DATE | | | | | | | | | |
| Herewith U.S. PATENT DOCUMENTS U.S. PATENT DOCUMENTS | LISTO | FREFE | ERENCES CITED BY | APPLICANT | Oliver SEEGER, et al. | | | | |
| DOCUMENT NAME CLASS SUB FILING DATE NAME CLASS SUB FILING DATE FAPPROPRIATE | | | | | FILING DATE | GROUP | | | |
| EXAMINER NUMBER DATE NAME CLASS SUB FILING DATE FILING D | | | | | Herewith | | | | |
| NAME | | | | ···· | U.S. PATENT DOCUMENTS | | | | |
| H.L. AA 2030/129404 07/10/03 ARGOITIA, Alberto et al. | | | | DATE | NAME | CLASS | | | |
| H.L. AB | /H.L./ | AA | 2003/0129404 | 07/10/03 | ARGOITIA, Alberto et al. | | | TO THE TOTAL PARTY | |
| AC 6.013,370 01/11/00 COULTER, Kent et al. | /H.L./ | AB | 5,607,504 | 03/04/97 | † | | | | |
| AB | /H.L./ | AC | 6,013,370 | 01/11/00 | | | | | |
| AF | | AD | | | | | <u> </u> | | |
| AG | | AE | · | | | | - | | |
| AH | | AF | | | | | | | |
| AI | | AG | | - | | | | | |
| AI | | + | | | | | | | |
| AJ | | + | | | | | | | |
| AK | | | | - | | | | | |
| AL | <u> </u> | + | | | | | | | |
| AM | | - | | | | | | | |
| AN | | ┼ | | | | | | | |
| DOCUMENT DATE COUNTRY TRANSLATION YES NO | | | | | | | | | |
| DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO | | AN | | | | | | | |
| NUMBER DATE COUNTRY TRANSLATION | | | | FOR | REIGN PATENT DOCUMENTS | | | | |
| MO | | | | DATE | COUNTRY | · | | | |
| Mode | | AO | 99/35194 | 07/15/99 | WO(Equivalent of US 6,013,370) | | | | |
| AQ | | AP | 1987/96565 | 05/06/87 | JP(English abstract and English trans | lation only) | _ | | |
| AR 0 708 154 04/24/96 EP(Equivalent of US 5,607,504) NO | | AQ | 1987/96566 | 05/06/87 | | | | | |
| AS | | AR | 0 708 154 | 04/24/96 | | | | | |
| AT AU AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Additional References sheet(s) attached saminer /Hoa Le/ Date Considered 02/20/2008 | /H.L./ | AS | 0 668 329 | 08/23/95 | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ AZ AZ AGAINTOR ADDITIONALE/ Date Considered 02/20/2008 Examiner: Initial if reference is considered, whether or not citation is in the following author, Title, Date, Pertinent Pages, etc.) AW Date Considered 02/20/2008 | | AT | | | | | | - NO | |
| AX AY AZ AZ AZ AZ AZ ADA Additional References sheet(s) attached by a considered whether or not situities in incomplete the considered of the conside | | AU | | | | | | | |
| AW AX AY AZ AZ Additional References sheet(s) attached Date Considered 02/20/2008 Examiner: Initial if reference is considered whether as not site time is in a few and site time is a few and site time. | | ΑV | | | · · · · · · · · · · · · · · · · · · · | | | | |
| AW AX AY AZ AZ Additional References sheet(s) attached Date Considered 02/20/2008 Examiner: Initial if reference is considered whether as not site time is in a few and site time is a few and site time. | | | OTHER R | EFERENCES (Ir | ncluding Author, Title, Date, Pertine | nt Pages, etc | :.) | | |
| AZ AZ Additional References sheet(s) attached Date Considered 02/20/2008 Examiner: Initial if reference is considered whether or not site time is an examiner. | | AW | | | | | · | | |
| AZ Additional References sheet(s) attached Additional References sheet(s) attached Date Considered 02/20/2008 | | AX | | | | | | | |
| AZ Additional References sheet(s) attached Additional References sheet(s) attached Date Considered 02/20/2008 | | | | | | · | | | |
| Additional References sheet(s) attached xaminer /Hoa Le/ Examiner: Initial if reference is considered, whether or not citation is in a considered. In the considered whether or not citation is in a considered. | | AY | | | | | | | |
| Examiner: Initial if reference is considered, whether or not citation is in another to the considered. | | AZ | <u>.</u> | · · · · · · · · · · · · · · · · · · · | | Addition | nal Refere | nces sheet(s) attached | |
| examiner: Initial if reference is considered whether or not citation is in a citation in the control of the control of the citation is in a citation in the ci | Examiner /Hoa Le/ | | | | | Date Consi | Date Considered 02/20/2008 | | |
| | Examiner: Ini conformance a | tial if re and not | ference is considered, considered. Include c | , whether or not o opy of this form v | citation is in conformance with MPEP 6 vith next communication to applicant. | | | | |